# Universal Composability alias Reactive Simulatability

## On security definitions

- Real vs. ideal functionality...
- The ideal functionality for computing the function f with n inputs and outputs:
  - lacktriangle Parties  $P_1, \ldots, P_n$  hand their inputs  $x_1, \ldots, x_n$  over to the functionality.
  - lack The ideal functionality computes  $(y_1, \dots, y_n) = f(x_1, \dots, x_n)$ .
    - $\blacksquare$  ... tossing coins if f is randomized.
  - lacktriangle The ideal functionality sends  $y_i$  to  $P_i$ .

# Ideal functionality $MPC_n^{\rm Ideal}$

- $\blacksquare$  Has n input ports and n output ports.
- Initial state:  $x_1, \ldots, x_n$  are undefined.
- On input (input, v) from port  $in_i$ ?:
  - lacktriangle If  $x_i$  is defined, then do nothing.
  - lacktriangle If  $x_i$  is not defined, then set  $x_i := v$ .
- If  $x_1, \ldots, x_n$  are all defined then compute  $(y_1, \ldots, y_n)$ .
- For all i, write  $y_i$  to port  $out_i!$ .

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How do we run it (connections, scheduling)? What it means for a party to be corrupted?

# Real functionality $MPC_n^{\text{Real}}$

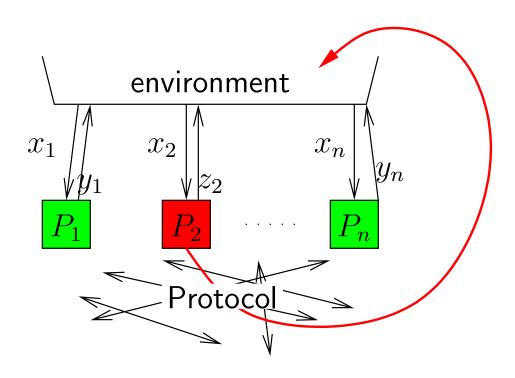
- $\blacksquare$  Conceptually made up of n identical machines  $P_i$ .
  - lack Has ports  $in_i$ ?,  $out_i$ !, network ports...
- Initialization:  $P_i$  learns his name i.
- On input (input, v) from port  $in_i$ ? put  $x_i := v$  and start executing the MPC protocol...
- If the protocol has finished execution then write  $y_i$  to  $out_i!$ .

# Real functionality $MPC_n^{\text{Real}}$

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- On input (input, v) from port  $in_i$ ? put  $x_i := v$  and start executing the MPC protocol...
- If the protocol has finished execution then write  $y_i$  to  $out_i!$ .
- Cannot speak about the indistinguishability of  $MPC^{\mathsf{Ideal}}$  and  $MPC^{\mathsf{Real}}$  because the set of ports is different.
  - We have to simulate something...

#### Reactive functionalities

- $\blacksquare$   $MPC^{\mathsf{Ideal}}$  worked like this:
  - Get the inputs
  - Give the outputs
- $\blacksquare$   $MPC^{\mathsf{Ideal}}$  is non-reactive.
- A reactive functionality gets some inputs, produces some outputs, gets some more inputs, produces some more outputs, etc.
  - lacktriangle Example: secure channel from A to B.
- Further inputs may depend on the previous outputs.
  - Or on the messages sent during the processing of previous inputs.



## **Composability**

- We want the real functionality to be usable instead of the ideal one.
- In all possible contexts!
- $\blacksquare$   $\mathcal{F}^{\text{real}}$  may make no behaviour possible that is impossible with  $\mathcal{F}^{\text{ideal}}$ .
- Recall the last two exercises of the last test in Cryptology I...

## Probabilistic I/O automata

#### A PIOA M has

- $\blacksquare$  The set of possible states  $Q^M$ ;
- The initial state  $q_0^M \in Q^M$  and final states  $Q_F^M \subseteq Q^M$ ;
- The sets of ports:
  - lacktriangle input ports  $\mathbf{IPorts}^M$ ,
  - lacktriangle output ports  $\mathbf{OPorts}^M$
  - lacktriangle clocking ports  $\mathbf{CPorts}^M$ ;
- $\blacksquare$  A probabilistic transition function  $\delta^M$ :
  - lacktriangle domain:  $Q^M \times \mathbf{IPorts}^M \times \{0,1\}^*$ ;
  - lacktriangle range:  $Q^M imes (\mathbf{OPorts}^M o (\{0,1\}^*)^*) imes (\mathbf{CPorts}^M \cup \{\bot\})$
  - ...in our examples implemented by a PPT algorithm.
  - $lack Q^M$ ,  $Q_F^M$  and  $q_0^M$  may (uniformly) depend on the security parameter.

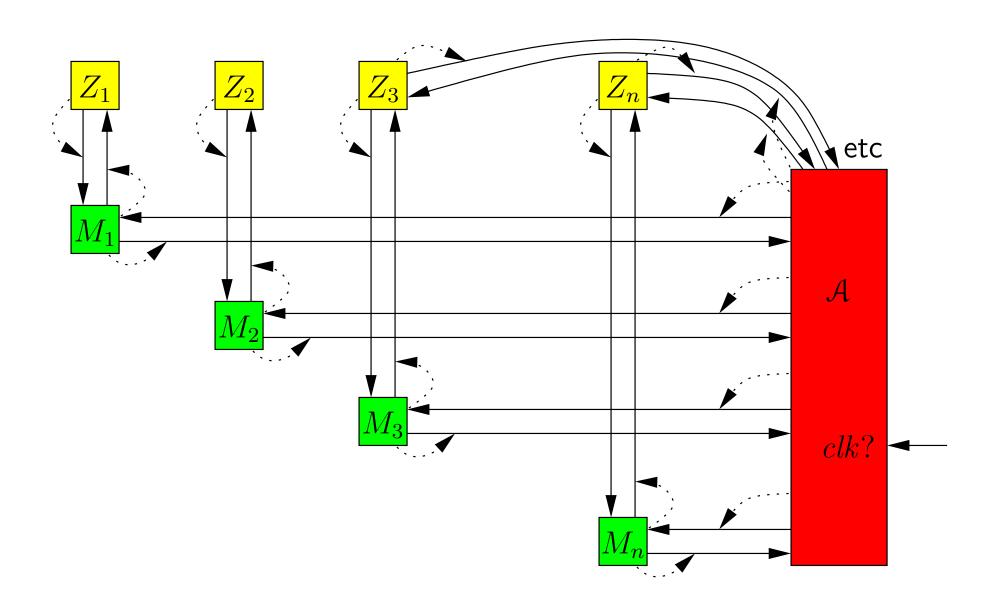
#### A transition of a PIOA

- The type of  $\delta^M$  tells us some things about an execution step of a PIOA:
  - Input: one message from one of the input ports.
  - Output: a list of messages for each of the output ports.
  - Also output: a choice of zero or one clocking ports.
- The internal state may change, too.

#### **Channels and collections**

- A set Chans of channel names is given.
- There is a distinguished  $clk \in \mathbf{Chans}$ , representing global clock.
- For a channel c, its input, output and clocking ports are c?, c! and c!.
- $\blacksquare$  A closed collection C is a set of PIOAs, such that
  - no port is repeated;
  - ♦ For each  $c \in \mathbf{Chans} \setminus \{clk\}$  occurring in C: the ports c?, c! and c! are all present.
  - $\bullet$  clk? is present. clk! and clk! are not present.
- A collection C is a set of PIOAs that can be extended to a closed collection.
  - ♦ Let freeports(C) be the set of ports that the machines in C' certainly must have for  $C \cup C'$  to be a closed collection.

## **Example closed collection**



#### Internal state of a closed collection

The state of a closed collection C consists of

- $\blacksquare$  the states of all PIOA-s in C;
  - lacktriangle Initially  $q_0^M$  for all  $M \in C$ .
- $\blacksquare$  the message queues of all channels c in C;
  - I.e. sequences of (still undelivered) messages.
  - lacktriangle Initially the empty queues for all  $c \in C$ .
- lacktriangle the currently running PIOA M, its input message v and channel c.
  - Initially X,  $\varepsilon$  and clk, where X is the machine with the port clk?.

## **Execution step of a closed collection**

- Invoke the transition function of M with message v on input port c?.
  - lacktriangle Update the internal state of M.
  - If  $(v_1, \ldots, v_k)$  was written to port c'! then append  $v_1, \ldots, v_k$  to the end of the message queue of c'.
- If M is X and it reached the final state then stop the execution.
- Otherwise, if M picked a clock port  $c'^{\triangleleft}!$  and the queue of c' is not empty, then define the new (M, v, c):
  - lacktriangle c is c';
  - v is the first message in the queue of c', which is removed from the queue;
  - lacktriangleq M is the machine with the port c'?.
- Otherwise set  $(M, v, c) := (X, \varepsilon, clk)$ .

#### Trace of the execution

Each execution step adds a tuple consisting of

- the machine that made the step;
- the incoming message and the channel;
- the random coins that were generated and the new state and messages that were produced.

to the end of the trace so far.

The semantics of a closed collection is a probability distribution over traces (for a given security parameter).

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The semantics of a closed collection is a probability distribution over traces (for a given security parameter).

Given trace tr and a set of machines  $\mathcal{M}$ , the restriction of the trace  $tr|_{\mathcal{M}}$  consists of only those tuples where the machine belongs to  $\mathcal{M}$ .

## **Combining PIOAs**

The combination of PIOAs  $M_1, \ldots, M_k$  is a PIOA M with

- lacksquare the state space  $Q^M=Q^{M_1} imes\cdots imes Q^{M_k}$ ;
- $\blacksquare$  initial state  $q_0^M = (q_0^{M_1}, \dots, q^{M_k});$
- $\blacksquare$  final states  $Q_F^M = \bigcup_i Q^{M_1} \times \cdots \times Q^{M_{i-1}} \times Q_F^{M_i} \times Q^{M_{i+1}} \times \cdots \times Q^{M_k}$ ;
- lacksquare ports  $\mathbf{XPorts}^M = \bigcup_i \mathbf{XPorts}^{M_i}$  with  $\mathbf{X} \in \{\mathbf{I}, \mathbf{O}, \mathbf{C}\}$ ;
- lacktriangle Transition function  $\delta^M$ , where  $\delta^M((q_1,\ldots,q_k),c?,v)$  is evaluated by
  - Let i be such that  $c? \in \mathbf{IPorts}^{M_i}$ .
  - lacktriangle Evaluate  $(q_i', f_i, p) \leftarrow \delta^{M_i}(q_i, c?, v)$ .
  - Output  $((q_1, ..., q_{i-1}, q'_i, q_{i+1}, ..., q_k), f, p)$ , where

$$f(c'!) = \begin{cases} f'(c'!), & \text{if } c'! \in \mathbf{OPorts}^{M_i} \\ \varepsilon, & \text{otherwise.} \end{cases}$$

**Exercise.** How does the semantics of a closed collection change if we replace certain machines in this collection with their combination?

### Security-oriented structures

- A structure consists of
  - lack a collection C;
  - lack a set of ports  $S \subseteq \text{freeports}(C)$ .
    - C offers the intended service on S.
    - The ports freeports $(C)\setminus S$  are for the adversary.
- A system is a set of structures.
- A configuration consists of a structure (C, S) and two PIOA-s H and A, such that
  - lacktriangle H has no ports in freeports $(C) \backslash S$ ,
  - lacktriangle  $C \cup \{H, A\}$  is a closed collection.
- Let  $\mathbf{Confs}(C, \mathsf{S})$  be the set of pairs (H, A), such that  $(C, \mathsf{S}, H, A)$  is a configuration.

**Exercise.** What parts of (C, S) determine Confs(C, S)?

### Reactive simulatability

- $\blacksquare$  Let  $(C_1, S)$  and  $(C_0, S)$  be two structures.
- $\blacksquare$   $(C_1, S)$  is at least as secure as  $(C_0, S)$  if
  - lack for all H,
  - lacktriangle for all A, such that  $(H,A) \in \mathbf{Confs}(C_1,\mathsf{S})$
  - lacktriangle exists S, such that  $(H,S) \in \mathbf{Confs}(C_0,\mathsf{S})$

such that  $[\![C_1 \cup \{H,A\}]\!]|_H \approx [\![C_0 \cup \{H,S\}]\!]|_H$ .

- We also say that  $(C_0, S)$  simulates  $(C_1, S)$ .
- The simulatability is universal if the order of quantifiers is  $\forall A \exists S \forall H$ .
- The simulatability is black-box if
  - lacktriangle there exists a PIOA Sim, such that
  - lack for all  $(H,A) \in \mathbf{Confs}(C_1,\mathsf{S})$  holds

$$(H, A || Sim) \in \mathbf{Confs}(C_0, S) \text{ and } [\![C_1 \cup \{H, A\}]\!]|_H \approx [\![C_0 \cup \{H, A, Sim\}]\!]|_H.$$

**Exercise.** Show that universal and black-box simulatability are equivalent (if the port names do not collide).

## Simulatability for systems

A system  $Sys_1$  is at least as secure as a system  $Sys_0$  if for all structures  $(C_1, S) \in Sys_1$  there exists a structure  $(C_0, S) \in Sys_0$ , such that  $(C_1, S)$  is at least as secure as  $(C_0, S)$ .

## What is simulatable by what?

- Input  $n \in \mathbb{Z}$  from the user, output n to the adversary.
- Input n from the user, output  $n^2$  to the adversary.
- Input n from the user, output |n| to the adversary.
- "Unique identifiers" (randomly generated) from a single party.
- $\blacksquare$  "Unique identifiers" (randomly generated) from k parties.

### **Example:** secure channels for n parties

- Ideal PIOA  $\mathfrak I$  has ports  $in_i$ ? and  $out_i!$  for communicating with the i-th party.
- Input (j, M) on  $in_i$ ? causes (i, M) to be written to  $out_j$ !.
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- Should model API calls, hence it also has the ports  $out_i$ !.
- Real structure uses public-key cryptography to provide confidentiality and authenticity.
  - lacktriangle Message M from i to j encoded as  $\mathcal{E}_j(\operatorname{sig}_i(M))$ .
- Consists of PIOA-s  $M_1, \ldots, M_n$ .  $M_i$  has ports  $in_i$ ? and  $out_i$ !.
- $\blacksquare$   $M_i$  has ports  $net_i^{\rightarrow}!$ ,  $net_i^{\rightarrow}$ ! and  $net_i^{\leftarrow}$ ? for (insecure) networking.
- Public keys are distributed over authentic channels.
  - $lack M_i$  has ports  $aut_{i,j}^{\rightarrow}!$ ,  $aut_{i,j}^{a}!$  and  $aut_{j,i}^{a}$ ? for authentically communicating with party  $M_j$ .
  - lacktriangle  $M_i$  always writes identical messages to  $aut_{i,j}^{\rightarrow}!$  and  $aut_{i,j}^{a}!$ .

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  - $lacktriangleq M_i$  always writes identical messages to  $aut_{i,j}^{\rightarrow}!$  and  $aut_{i,j}^{a}!$ .
- $\blacksquare S = \{in_1!, \dots, in_n!, in_1^{\triangleleft}!, \dots, in_n^{\triangleleft}!, out_1?, \dots, out_n?\}.$

## $\mathcal{I}$ is way too ideal

- Sending a message without initialization.
  - generating keys and distributing the public keys.
- Sending messages without delays. Guaranteed transmission.
- Traffic analysis.
- Concealing the length of messages.
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To simplify the presentation, we'll also

Allow reordering and repetition of messages from one party to another.

#### The state of the PIOA $\mathcal{I}$

- Boolean  $init_i$  "has  $M_i$  generated the keys?"
- Boolean  $init_{i,j}$  "has  $M_j$  received the public keys of  $M_i$ ?"
- Sequence of bit-strings  $D_{i,j}$  the messages party i has sent to party j.
- lacksquare the total length of messages party i has sent so far.

Initial values — false,  $\varepsilon$ , or 0.

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- $\blacksquare$   $\ell_i$  the total length of messages party i has sent so far.

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To set these values,  $\mathcal{I}$  has to communicate with the adversary, too. It has the ports  $adv^{\rightarrow}!$ ,  $adv^{\rightarrow}!$  and  $adv^{\leftarrow}$ ? for that.

#### The transition function $\delta^{\mathfrak{I}}$

- On input (init) from  $in_i$ ?: Set  $init_i$  to true, write (init, i) to  $adv^{\rightarrow}$ ! and raise  $adv^{\rightarrow}$ !.
- On input (init, i, j) from  $adv \leftarrow ?$ : Set  $init_{i,j}$  to  $init_i$ .
- On input (send, j, M) from  $in_i$ ?: Do nothing if one of the following holds:
  - $|M| + \ell_i > p(\eta)$  for a fixed polynomial p;
  - $lack init_i \wedge init_{j,i} = {\tt false}.$

Otherwise add |M| to  $\ell_i$  and append M to  $D_{i,j}$ . Write (sent, i, j, |M|) to  $adv^{\rightarrow}!$  and raise  $adv^{\rightarrow}!$ .

- On input (recv, i, j, x) from  $adv^{\leftarrow}$ ?: Do nothing if one of the following holds:
  - $lack init_j \wedge init_{i,j} = false;$
  - $\bullet$   $x \leq 0$  or  $|D_{i,j}| < x$ .

Otherwise write (received,  $i, D_{i,j}[x]$ ) to  $out_j!$  and raise  $out_j!$ .

#### The state of the PIOA $M_i$

- The decryption key  $K_i^d$  and signing key  $K_i^s$ .
- lacktriangle The encryption keys  $K_j^{
  m e}$  and verification keys  $K_j^{
  m v}$  of all parties j.
- The length  $\ell_i$  of the messages sent so far.

To operate, we have to fix

- IND-CCA-secure public key encryption system;
- EF-CMA-secure signature scheme.

### The transition function $\delta^{M_i}$

- On input (init) from  $in_i$ ?: Generate keys  $(K_i^{\rm e}, K_i^{\rm d})$  and  $(K_i^{\rm v}, K_i^{\rm s})$ . Ignore further (init)-requests. Write  $(K_i^{\rm e}, K_i^{\rm v})$  to ports  $aut_{i,j}^{\rightarrow}!$  and  $aut_{i,j}^{\rm a}!$ .
- lacksquare On input  $(k^{\mathrm{e}}, k^{\mathrm{v}})$  from  $aut_{j,i}^{\mathrm{a}}$ ?: Initialize  $K_{j}^{\mathrm{e}}$  and  $K_{j}^{\mathrm{v}}$ .
- On input (send, j, M) from  $in_i$ ?: If  $|M| + \ell_i \leq p(\eta)$  and  $K_i^{\mathrm{s}}, K_j^{\mathrm{e}}$  are defined
  - lacktriangle Let  $v \leftarrow \mathcal{E}_{K_j^{\mathbf{e}}}(\operatorname{sig}_{K_i^{\mathbf{s}}}(i,j,M))$ .
  - lack Add |M| to  $\ell_i$ .
  - lacktriangle Write (sent, j, v) to  $net_i^{\rightarrow !}$  and raise  $net_i^{\rightarrow !}$ .
- On input (recv, j, v) from  $net_i^{\leftarrow}$ ?: If the necessary keys are initialized and decryption and verification succeed (giving message M) then write (received, j, M) to  $out_i!$  and raise  $out_i^{\triangleleft}!$ .

## Ideal and real at a glance

```
(init) from user:
J:
                                               generate keys, send to adversary
(init) from user i:
                                               and others.
set init_i, notify adversary.
                                               (k^{e}, k^{v}) from aut_{i,i}^{a}?:
(init, i, j) from adversary:
                                               set the public keys of j-th party
set init_{i,j}, if \overline{init_i} set.
                                               (send, j, M) from user:
(send, j, M) from user i:
                                               Send j and c=\mathcal{E}_{K_i^{\mathbf{e}}}(\mathsf{sig}_{K_i^{\mathbf{s}}}(i,j,M))
\overline{\text{store}} \ M in the sequence D_{i,j};
send (send, i, j, |M|) to adversary.
                                               to the adversary
                                               (only if K_i^{
m e} and K_i^{
m s} present)
(only if init_i \wedge init_{i,j})
                                               (j,c) from adversary:
(recv, i, j, x) from adversary:
send (j, D_{i,j}[x]) to user j.
                                               decrypt with K_i^{\mathrm{d}}, check signature
(only if init_i \wedge init_{j,i})
                                               with K_i^{\text{v}}, send plaintext to user if OK
                                               (only if K_i^{\mathrm{v}} and K_i^{\mathrm{d}} present)
```

#### The simulator

- $\blacksquare$  The simulator translates between the ideal structure  $\Im$  and the "real" adversary.
- It has the following ports:
  - $\bullet$   $adv^{\rightarrow}$ ?,  $adv^{\leftarrow}$ !,  $adv^{\leftarrow}$ ! for communicating with  $\Im$ .
  - $lack net_i^{\rightarrow}!$ ,  $net_i^{\rightarrow}!$ ,  $net_i^{\leftarrow}?$ ,  $aut_{i,j}^{\rightarrow}!$ ,  $aut_{i,j}^{a}!$ ,  $aut_{j,i}^{a}?$  for communicating with the "real" adversary.
    - Both ends of the channel  $aut_{i,j}^{a}$  are at Sim.
    - But the adversary schedules this channel.

**Exercise.** Construct the simulator.

#### **Bisimulations**

- $\blacksquare$  A state-transition system is a tuple  $(S, A, B, \rightarrow)$ , where
  - lacktriangle S and A are the sets of states, input actions and output actions.
  - lacktriangle  $\to$  is a partial function from  $S \times A$  to  $S \times B$ .
    - Write  $s \stackrel{a/b}{\rightarrow} t$  for  $\rightarrow (s, a) = (t, b)$ .
- An equivalence relation  $\mathcal{R}$  over S is a bisimulation, if for all s, s', a, such that  $s \mathcal{R} s'$ :
  - If  $s \stackrel{a/b}{\to} t$  then exists  $t' \in S$ , such that  $s' \stackrel{a/b}{\to} t'$  and  $t \Re t'$ .
- Two systems  $(S, A, B, \rightarrow)$  and  $(T, A, B, \Rightarrow)$  with starting states  $s_0 \in S$  and  $t_0 \in T$  are bisimilar, if there exists a bisimulation of  $(S \dot{\cup} T, A, B, \rightarrow \cup \Rightarrow)$  that relates  $s_0$  and  $t_0$ .

#### **Probabilistic bisimulations**

- Let  $(S, A, B, \rightarrow)$  be a probabilistic state-transition system. I.e.
  - lacktriangle S, A and B are the sets of states and input/output transitions.
  - $\bullet$   $\to$  is a partial function from  $S \times A$  to  $\mathfrak{D}(S \times B)$  (probability distributions over S).
- An equivalence relation  $\mathcal R$  over S is a probabilistic bisimulation if  $s \ \mathcal R \ s'$  implies
  - for each  $a \in A$ ,  $s \stackrel{a}{\to} D$  implies that there exists D', such that  $s' \stackrel{a}{\to} D'$ , and
  - for each  $t \in S$  and  $b \in B$ :  $\sum_{t' \in t/\Re} D(t', b) = \sum_{t' \in t/\Re} D'(t', b)$ .
- Two probabilistic transition systems  $(S, A, B, \rightarrow)$  and  $(T, A, B, \Rightarrow)$  with starting states  $s_0$  and  $t_0$  are bisimilar if there exists a probabilistic bisimulation  $\mathcal{R}$  of  $(S \cup T, A, \rightarrow \cup \Rightarrow)$  that relates  $s_0$  and  $t_0$ .

# **Probabilistic bisimilarity**

Bisimilarity of systems  $(S, A, B, \rightarrow)$  and  $(T, A, B, \Rightarrow)$  with starting states  $s_0$ ,  $t_0$  means that

- The sets S and T can be partitioned into  $S_1 \dot{\cup} \cdots \dot{\cup} S_k$  and  $T_1 \dot{\cup} \cdots \dot{\cup} T_k$ , such that
  - lack ... some of  $S_i$ ,  $T_i$  may be empty;
  - ... define a relation  $\mathcal{R} \subseteq S \times T$ , such that  $s \mathcal{R} t$  iff  $s \in S_i, t \in T_i$  for some i.
- For all  $s \in S_i$ ,  $t \in T_i$ ,  $a \in A$ :
- If  $s \stackrel{a}{\to} D$  then  $t \stackrel{a}{\Rightarrow} E$ . Also, for each j and b:  $\sum_{s' \in S_j} D(s', b) = \sum_{t' \in T_j} E(t', b).$
- $\blacksquare$   $s_0 \Re t_0$ .

### **Composition**

Let the structures  $(C_1, S_1), \ldots, (C_k, S_k)$  be given. We say that (C, S) is the composition of those structures if

- $\blacksquare$   $C_1, \ldots, C_k$  are pairwise disjunct;
- $\blacksquare$  the sets of ports of  $C_1, \ldots, C_k$  are pairwise disjunct;
- $\blacksquare \quad C = C_1 \cup \cdots \cup C_k;$
- freeports $(C_i)\backslash S_i \subseteq \text{freeports}(C)\backslash S$  for all i.

Write 
$$(C, S) = (C_1, S_1) \times \cdots \times (C_k, S_k)$$
.

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Let the structures  $(C_1, S_1), \ldots, (C_k, S_k)$  be given. We say that (C, S) is the composition of those structures if

- $\blacksquare$   $C_1,\ldots,C_k$  are pairwise disjunct;
- $\blacksquare$  the sets of ports of  $C_1, \ldots, C_k$  are pairwise disjunct;
- $\blacksquare \quad C = C_1 \cup \cdots \cup C_k;$
- freeports $(C_i)\backslash S_i\subseteq freeports(C)\backslash S$  for all i.

Write 
$$(C, S) = (C_1, S_1) \times \cdots \times (C_k, S_k)$$
.

#### Theorem. Let

- $(C,S) = (C_1,S_1) \times (C_0,S_0)$  and  $(C',S) = (C_1,S_1) \times (C'_0,S_0)$ ;
- $(C_0, S_0) \ge (C'_0, S'_0).$

Then 
$$(C, S) \geq (C', S)$$
.

Proof on the blackboard.

### Power of composition

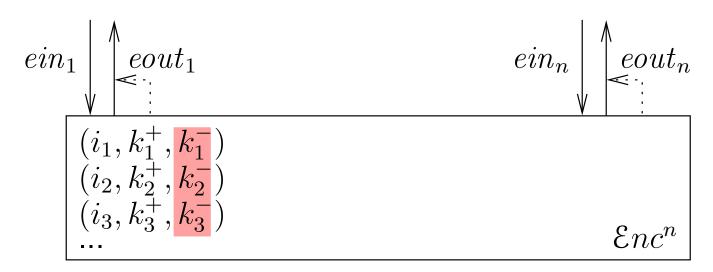
- The composition theorem gives the model its usefulness.
- One can construct a large system as follows:
  - Design it from the functionalities that have already been constructed.
    - add some glue code, if necessary.
  - Prove that it satisfies the needed (security) properties.
    - Assume the ideal implementations of existing functionalities.
  - ♦ Implement the system.
    - Use the real implementations of existing functionalities.
- The proofs of properties will hold for the real system.

# Simulation for secure messaging

- 1. Separate encryption; replace it with an ideal encryption machine.
  - Same for signatures.
- 2. Define a probabilistic bisimulation with error sets between the states of  $M_1 || \cdots || M_n$  and  $\Im || Sim$ .
- 3. Show that error sets have negligible probability.
  - The errors correspond to forging a signature or generating the same random value twice.
  - The first case may also be handled by defining a separate signature machine.
  - The second case may also be handled by defining the ideal machines in the appropriate way.

### The PIOA $\mathcal{E}nc^n$

- $\blacksquare$  Has ports  $ein_i$ ?,  $eout_i$ !,  $eout_i$ ! for  $1 \le i \le n$ .
- The machine  $M_i$  will get ports  $ein_i!$ ,  $ein_i$ !,  $eout_i$ ?.
- On input (gen) from  $ein_i$ ?: generate a new keypair  $(k^+, k^-)$ , store  $(i, k^+, k^-)$ , write  $k^+$  to  $eout_i$ !, clock.
- On input  $(enc, k^+, M)$  from  $ein_i$ ?: if  $k^+$  has been stored as a public key, then compute  $v \leftarrow \mathcal{E}(k^+, M)$ , write v to  $eout_i$ !, clock.
- On input  $(\text{dec}, k^+, M)$  from  $ein_i$ ?: if  $(i, k^+, k^-)$  has been stored, write  $\mathfrak{D}(k^-, M)$  to  $eout_i$ !, clock.

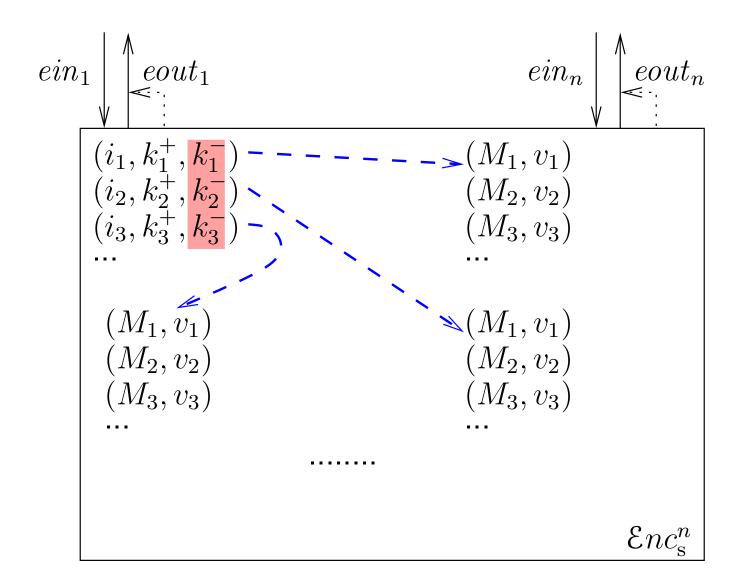


# The PIOA $\mathcal{E}nc_{\mathrm{s}}^n$

- $\blacksquare$  Has ports  $ein_i$ ?,  $eout_i$ !,  $eout_i$ ! for  $1 \le i \le n$ .
- The machine  $M_i$  will get ports  $ein_i!$ ,  $ein_i$ !,  $eout_i$ ?.
- On input (gen) from  $ein_i$ ?: generate a new keypair  $(k^+, k^-)$ , store  $(i, k^+, k^-)$ , write  $k^+$  to  $eout_i$ !, clock.
- On input (enc,  $k^+$ , M) from  $ein_i$ ?: if  $k^+$  has been stored as a public key, then compute  $v \leftarrow \mathcal{E}(k^+, 0^{|M|})$ , store  $(k^+, M, v)$ , write v to  $eout_i$ !, clock.
  - lacktriangle Recompute v until it differs from all previous v-s.
- On input  $(\text{dec}, k^+, v)$  from  $ein_i$ ?: if  $(i, k^+, k^-)$  has been stored, then
  - if  $(k^+, M, v)$  has been stored for some v, then write v to  $eout_i!$ , clock.
  - lacktriangle otherwise write  $\mathfrak{D}(k^-,M)$  to  $eout_i!$ , clock.

 $\mathcal{E}nc^n \geq \mathcal{E}nc^n_s$  (black-box). **Exercise.** Describe the simulator.

# The PIOA $\mathcal{E}nc_{\mathrm{s}}^n$



# The PIOA $Sig^n$

- $\blacksquare$  Has ports  $sin_i$ ?,  $sout_i$ !,  $sout_i$ ! for  $1 \le i \le n$ .
- The machine  $M_i$  will get necessary ports for using  $Sig^n$  as by API calls.
- On input (gen) from  $sin_i$ ?: generate a new keypair  $(k^+, k^-)$ , store  $(i, k^+, k^-)$ , write  $k^+$  to  $sout_i$ !, clock.
- On input  $(sig, k^+, M)$  from  $sin_i$ ?: if  $(i, k^+, k^-)$  has been stored then compute  $v \leftarrow sig(k^-, M)$ , write v to  $sout_i$ !, clock.
- On input  $(\text{ver}, k^+, s)$  from  $sin_i$ ?: if  $k^+$  has been stored then write  $\text{ver}(k^+, s)$  to  $sout_i$ !, clock.

# The PIOA $Sig_s^n$

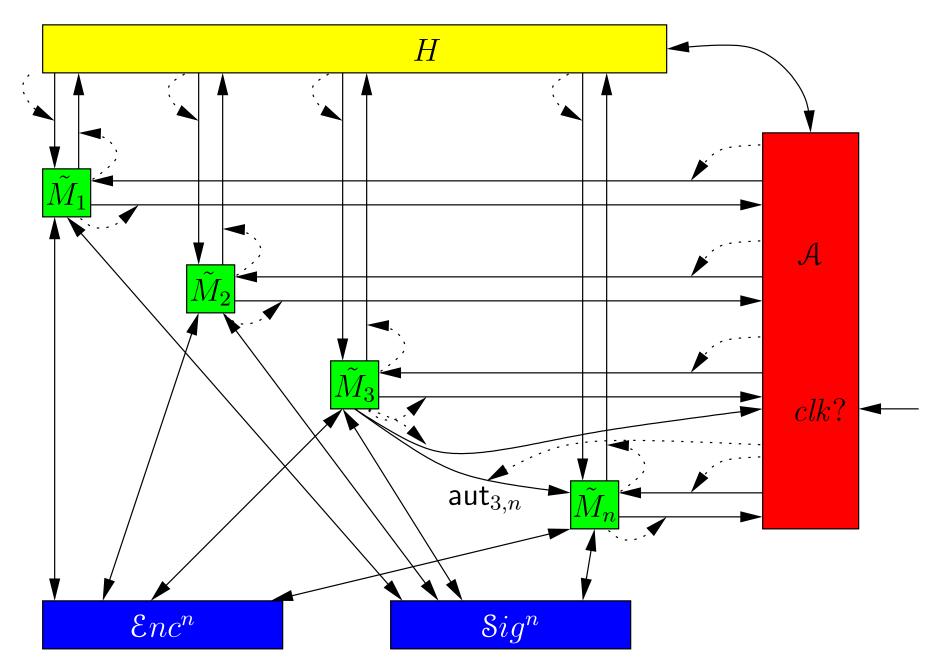
- $\blacksquare$  Has ports  $sin_i$ ?,  $sout_i$ !,  $sout_i$ ! for  $1 \le i \le n$ .
- The machine  $M_i$  will get necessary ports for using  $Sig^n$  as by API calls.
- On input (gen) from  $sin_i$ ?: generate a new keypair  $(k^+, k^-)$ , store  $(i, k^+, k^-)$ , write  $k^+$  to  $sout_i$ !, clock.
- On input  $(\text{sig}, k^+, M)$  from  $sin_i$ ?: if  $(i, k^+, k^-)$  has been stored then compute  $v \leftarrow \text{sig}(k^-, M)$ , store  $(k^+, M)$ , write v to  $sout_i$ !, clock.
- On input  $(\text{ver}, k^+, s)$  from  $sin_i$ ?: if  $k^+$  has been stored then write  $\text{ver}(k^+, s) \wedge \text{``}(k^+, M)$  has been stored" to  $sout_i$ !, clock.

Theorem.  $Sig^n \geq Sig_s^n$ .

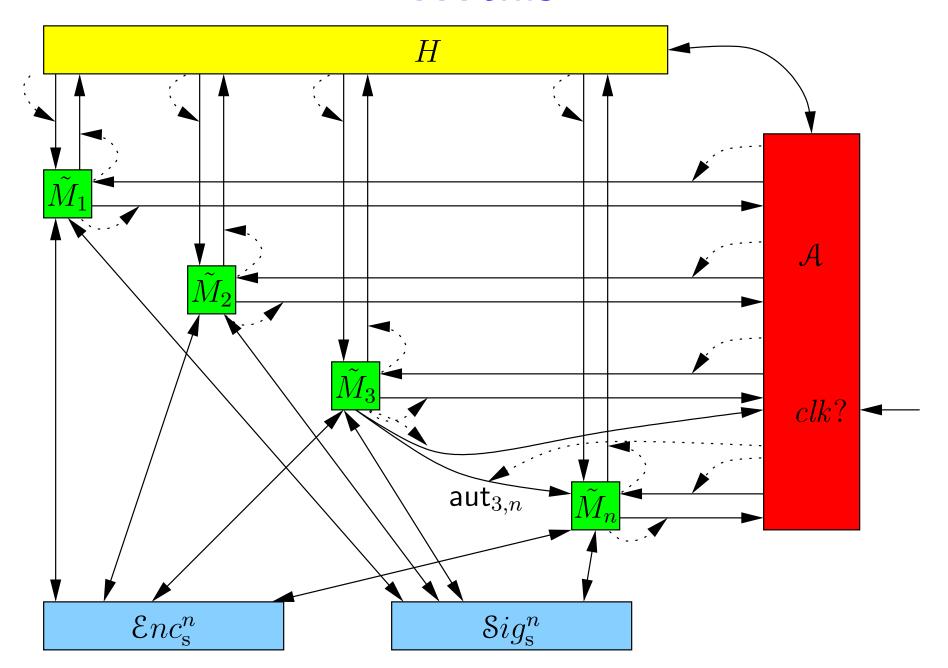
### Modified real structure

- Instead of generating the encryption keys, and encrypting and decrypting themselves, machines  $M_i$  query the machine  $\mathcal{E}nc^n$ .
- We can then replace  $\mathcal{E}nc^n$  with  $\mathcal{E}nc^n_s$ . The original structure was at least as secure as the modified structure.
- Same for signatures...
- lacksquare Denote the modified machines by  $M_i$ .

# This is at least as secure as...



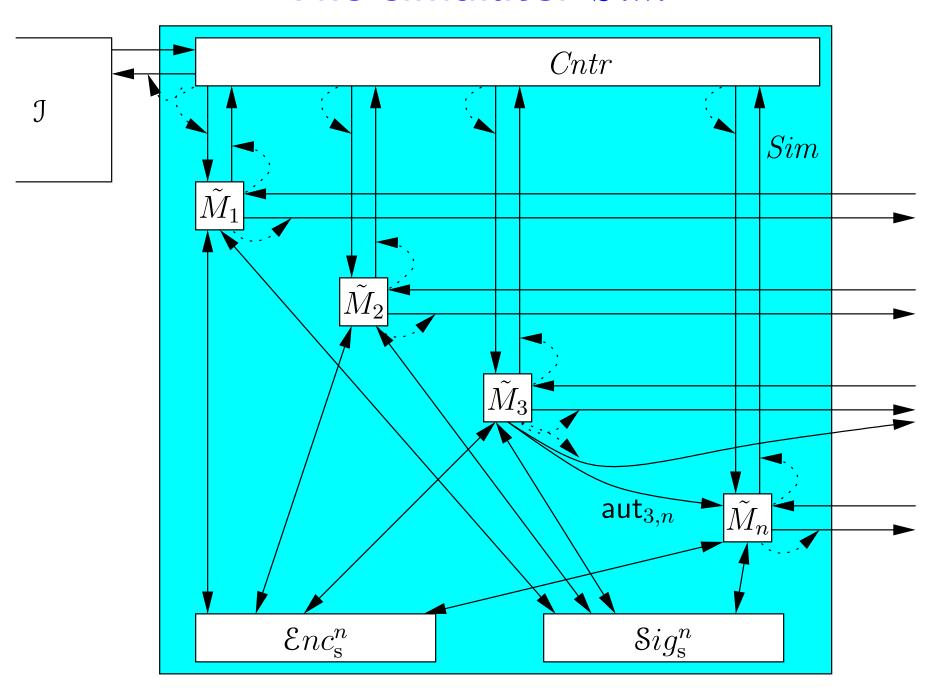
# ... this



#### The state of the real structure

- State of  $\tilde{M}_i$  the keys  $K_j^e$  and  $K_j^v$   $(1 \le j \le n)$ .
  - lacktriangle If some K is defined at several machines, then they are equal.
- State of  $\mathcal{E}nc_{\mathbf{s}}^n$ :
  - lacktriangle key triples  $(i, k^+, k^-)$ , where  $k^+$  is the same as  $K_i^{\rm e}$ .
  - lack text triples  $(k^+, M, v)$ , where  $k^+$  also occurs in a key triple.
- State of  $\$ig_{\mathrm{s}}^n$ :
  - lacktriangle key triples  $(i, k^+, k^-)$ , where  $k^+$  is the same as  $K_i^{\mathrm{v}}$ .
  - lacktriangle text pairs  $(k^+, M)$ , where  $k^+$  also occurs in a key triple.
- Possibly (during initialization) the keys in the buffers of the channels  $aut_{i,j}^{\rm a}$ .
- No messages are in the buffers of newly introduced channels  $ein_i$  etc.
- The buffers of channels connected to H or A are not part of the state.

### The simulator Sim



### The simulator Sim

- Consists of the real structure and one extra machine Cntr. Its state contains message sequences  $D'_{ij}$  for all  $1 \le i, j \le n$ .
- The ports  $in_i$ ?,  $out_i$ !,  $out_i$ ! of  $M_i$  are renamed to  $cin_i$ ?,  $cout_i$ !,  $cout_i$ !.
- Machine Cntr has ports  $cin_i!$ ,  $cin_i^{\triangleleft}!$ ,  $cout_i?$ ,  $adv^{\leftarrow}!$ ,  $adv^{\leftarrow}!$ ,  $adv^{\rightarrow}?$ .
- $\blacksquare$  On input (init, i) from  $adv^{\rightarrow}$ ? write (init) to  $cin_i!$  and clock it.
- On input  $(k^e, k^v)$  from  $aut_{j,i}^a$ ?: the machine  $M_i$  additionally writes (recvkeys, j) to  $cout_i$ ! and clocks it.
- Receiving (recvkeys, j) from  $cout_i$ ?, machine Cntr writes (init, j,i) to  $adv^{\leftarrow}!$  and clocks it.
- Receiving (send, i, j, l) from  $adv^{\rightarrow}$ ?, the machine Cntr generates a new message M of length l, appends it to  $D'_{i,j}$ , writes (send, j, M) to  $cin_i!$ , clocks it.
- Receiving (received, i, M) from  $cout_j$ ?, the machine Cntr finds x, such that  $D'_{i,j}[x] = M$ , writes (recv, i, j, x) to  $adv^{\leftarrow}$ !, clocks it.

# The state of $\Im ||Sim|$

- The state of real structure. Additionally
- For each i, j, the sequences  $D'_{i,j}$  of messages that the machine Cntr has generated.
- Initialization bits  $init_i$ ,  $init_{i,j}$ .
- The sequences of messages  $D_{i,j}$  that party i has sent to party j. (stored in  $\mathfrak{I}$ )

# The state of $\Im ||Sim|$

- The state of real structure. Additionally
- For each i, j, the sequences  $D'_{i,j}$  of messages that the machine Cntr has generated.
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- The sequences of messages  $D_{i,j}$  that party i has sent to party j. (stored in  $\mathfrak{I}$ )

**Lemma.** If  $\Im || Sim$  is not currently running, then

- $|D_{ij}| = |D'_{i,j}|$  and the lengths of the messages in the sequences  $D_{i,j}$  and  $D'_{i,j}$  are pairwise equal.
- If  $init_i$  then  $M_i$  has requested the generation of keys. If  $init_{i,j}$  then  $\tilde{M}_j$  has received the keys of  $\tilde{M}_i$ . The opposite also holds.
- The signed messages in  $Sig_s^n$  are exactly of the form (i, j, M) where M is in the sequence  $D'_{i,j}$ . The encrypted messages in  $\mathcal{E}nc_s^n$  are exactly those signed messages.

### Bisimilarity for secure channels

Relating the states of real and (ideal||simulator) structures:

- $\blacksquare$  The states of  $\tilde{M}_i$ ,  $\mathcal{E}nc_{\mathrm{s}}^n$ ,  $\mathcal{S}ig_{\mathrm{s}}^n$  must be equal.
- The rest of the state of  $\Im || Sim|$  must satisfy the lemma we had above.

The relationship must hold only if either H or A is currently running.

■ Now consider all possible inputs that the real structure or (ideal||simulator) may receive. Show that they react to it in the identical manner.

### **Extension: static corruptions**

- Allow the adversary to corrupt the parties before the start of the run (before party has received the (init)-command).
- In the real functionality: machine  $M_i$  may accept a command (corrupt) from the port  $net_i^{\leftarrow}$ ?.
- It forwards all messages it receives directly to the adversary (over the channel  $net_i^{\rightarrow}$ ) and receives from the adversary the messages it has to write to other ports.

**Exercise.** How should we change the ideal functionality? The simulator? **Exercise.** Why is it hard to model dynamic corruptions?

#### Home exercise

Present a simulatable functionality for secure channels (not allowing corruptions) that preserves the order of messages and does not allow their duplication.

Please use the defined secure messaging functionality as a building block (use the composition).

Deadline: Mid-January.

# An UC voting functionality

Let there be m voters and n talliers. Let the possible votes be in  $\{0,\ldots,L-1\}$ .

All voters will give their votes. All authorities agree on the result. The adversary will not learn individual votes.

- At the voting phase, the voters write their encrypted votes to a bulletin board.
  - Use threshold homomorphic encryption.
  - Talliers have the shares of the secret key.
- Everybody can see the encrypted votes and combine them to the encryption of the tally.
- After the voting period, the talliers publish the plaintext shares of the tally.
- Everybody can combine those shares and learn the voting result.

# The ideal functionality

- The ideal functionality  $\mathcal{I}_{\text{VOTE}}$  has the standard ports...  $in_i^V$ ?,  $out_i^V$ !,  $out_i^V$ !,  $in_i^T$ ?,  $out_i^T$ !,  $out_i^T$ !,  $adv \overset{\leftarrow}{\sim}$ !,  $adv \overset{\rightarrow}{\sim}$ !.
- $\blacksquare$  First expect (init, sid)-command from the adversary.

# The ideal functionality

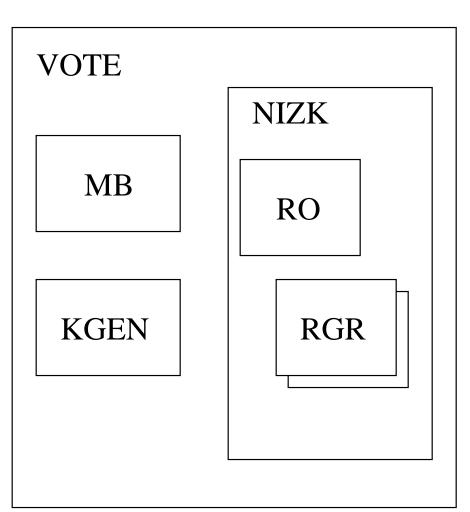
- The ideal functionality  $\mathcal{I}_{\text{VOTE}}$  has the standard ports...  $in_i^V?$ ,  $out_i^V!$ ,  $out_i^{V\triangleleft}!$ ,  $in_i^T?$ ,  $out_i^T!$ ,  $out_i^{T\triangleleft}!$ ,  $adv^{\leftarrow}?$ ,  $adv^{\rightarrow}!$ ,  $adv^{\rightarrow}!$ .
- First expect (init, sid)-command from the adversary.
- On input (vote, sid, v) from  $V_i$  store (vote, sid,  $V_i$ , v, 0), send (vote, sid,  $V_i$ ) to the adversary, ignore further votes from  $V_i$  in session sid.
- On input (accept, sid,  $V_i$ ) from the adversary, change the flag from 0 to 1 in (vote, sid,  $V_i$ , v,  $_{-}$ ).

# The ideal functionality

- The ideal functionality  $\mathcal{I}_{\text{VOTE}}$  has the standard ports...  $in_i^V?$ ,  $out_i^V!$ ,  $out_i^{V\triangleleft}!$ ,  $in_i^T?$ ,  $out_i^T!$ ,  $out_i^{T\triangleleft}!$ ,  $adv^{\leftarrow}?$ ,  $adv^{\rightarrow}!$ ,  $adv^{\rightarrow}!$ .
- $\blacksquare$  First expect (init, sid)-command from the adversary.
- On input (vote, sid, v) from  $V_i$  store (vote, sid,  $V_i$ , v, 0), send (vote, sid,  $V_i$ ) to the adversary, ignore further votes from  $V_i$  in session sid.
- On input (accept, sid,  $V_i$ ) from the adversary, change the flag from 0 to 1 in (vote, sid,  $V_i$ , v,  $_-$ ).
- On input (result, sid) from the adversary, add up the votes in session sid with flag 1, store (result, sid, r) and send it to the adversary.
- On input (giveresult, sid, i) from the adversary send (result, sid, r) to voter  $V_i$  or tallier  $T_{i-m}$ .

### **Building blocks**

- Message board
  - Synchronous communication
- Homomorphic threshold encryption
  - ◆ MPC (for key generation)
- NIZK proofs
  - ◆ Random oracle
  - Generation of random elements of a group



### Message board

Ideal functionality  $\mathcal{I}_{MB}$  for parties  $P_1, \ldots, P_n$  is the following:

- On input (bcast, sid, v) from  $P_i$ , store (bcast, i, sid, v). Accept no further (bcast, sid, . . .)-queries from  $P_i$ . Send (bcast, sid, i, v) to the adversary.
- On input (pass, sid, i) from the adversary, if (bcast, i, sid, v) has been stored, store (post, sid, i, v).
- On input (tally, sid) from the adversary, accept no more (bcast, sid, ...) and (pass, sid, ...)-requests.
- On input (request, sid) from  $P_j$ , if (tally, sid) has been received before, send all stored (post, sid, . . .)-tuples to  $P_j$  (as a single message).

Realization requires reliable channels or smth.

### **ZK** proofs

The ideal functionality  $\mathcal{I}_{ZK}$  for parties  $P_1, \ldots, P_n$  and witnessing relation  $\mathcal{R}$  is the following

- On input (prove, sid,  $P_j$ , x, w) from a party  $P_i$ :
  - lacktriangle Check that  $(x, w) \in \mathcal{R}$ ;
  - lacktriangle Store  $(P_i, P_j, sid, x)$ ;
  - lacktriangle Send (prove,  $P_i, P_j, sid, x$ ) to the adversary.
  - lacktriangle Accept no more (prove,  $sid, \ldots$ ) queries from  $P_i$ .
- On input (proofok,  $P_i$ ,  $P_j$ , sid, x) from the adversary send (proof, sid,  $P_i$ , x) to  $P_j$ .

### **NIZK** proofs

The ideal functionality  $\mathfrak{I}_{\text{NIZK}}$  for parties  $P_1, \ldots, P_n$  and witnessing relation  $\mathfrak{R}$  is the following

- On input (prove, sid, x, w) from a party  $P_i$ :
  - lacktriangle Check that  $(x, w) \in \mathcal{R}$ ;
  - lacktriangle Send (proof, sid, x) to the adversary.
  - lacktriangle Accept no more (prove,  $sid, \ldots$ ) queries from  $P_i$ .
  - Wait for a query of the form (proof, sid, x,  $\pi$ ) from the adversary.
    - A <u>restriction</u> on the adversary.
    - Can be justified for the ideal functionalities.
    - This topic warrants a deeper research.
  - Store  $(sid, x, \pi)$ .
  - Send (proof,  $sid, x, \pi$ ) to  $P_i$ .

### **NIZK** proofs

- $\blacksquare$  On input (prove, sid, x, w,  $\pi$ ) from the adversary:
  - lacktriangle Check that  $(x, w) \in \mathcal{R}$ ;
  - lack Store  $(sid, x, \pi)$ .
- On input (verify, sid, x,  $\pi$ ) from  $P_j$  check whether (sid, x,  $\pi$ ) is stored. If it is then
  - lacktriangle Return (verifyok, sid, x).

If it is not then

- ullet Send (witness?, sid, x) to the adversary.
- Wait for a query of the form (prove,  $sid, x, w, \pi$ ) from the adversary.
- lacktriangle Handle (prove,  $sid, x, w, \pi$ ) as before.
- If  $(x, w) \in \mathcal{R}$  then return (verifyok, sid, x) to  $P_j$ .

#### Random oracles

The random oracle functionality  $\mathcal{I}_{RO}$  for n parties is the following:

- $\blacksquare$  On input x by any party or the adversary
  - lacktriangle If (x,r) is already stored for some r, return r.
  - Otherwise generate  $r \in_R \{0,1\}^{p(\eta)}$ , store (x,r) and return r.

 $\mathcal{I}_{RO}$  works as a subroutine.

### Generating a random element of a group

Let G be a fixed group (depends on  $\eta$  only), with a prime cardinality and hard DDH problem. The functionality  $\mathcal{I}_{RGR}$  is the following:

- On input (init) by the adversary generates a random element of G and returns it to the adversary.
- $\blacksquare$  On input (init, i) marks that it may answer to party  $P_i$ .
- On input (get) from a party returns the generated element, if allowed.

#### Realization:

- $\blacksquare$  The machines  $M_i$  are initialized by the adversary.
- lacktriangle  $M_i$  generates a random element  $g_i \in G$ , secret shares it;
- The shared values are multiplied and the result is opened.
- $\blacksquare$  A (get) by a party allows it to learn the computed value.
- Uses secure channels functionality.

**Exercise.** How to simulate?

# **Protocol realizing NIZK**

- Idea: on input (prove, sid, x, w) from party  $P_i$  the machine  $M_i$  commits to w and outputs x, C(w), and a NIZK proof that C(w) is hiding a witness for x.
- Initialization: parties get two random elements  $g, h \in G$  using two copies of  $\mathcal{I}_{RGR}$ .
  - lacktriangle Ignore user's query if (get) to  $\mathcal{I}_{RGR}$ -s gets no response.
- Let us use the following commitment scheme (G is a group with cardinality #G and hard DDH problem):
  - ◆ To commit to  $m \in G$ , generate a random  $r \in \{0, ... \#G 1\}$ . The commitment is  $(g^r, m \cdot h^r)$ .
  - lacktriangle The opening of the previous commitment is r.

**Exercise.** How to verify? What is this commitment scheme? What can be said about its security?

# **Protocol realizing NIZK**

- There exists a ZK protocol for proving that a commitment c hides a witness w, such that  $(x, w) \in \mathcal{R}$ .
- For honest verifiers, this protocol has three rounds commitment (or witness), challenge and response.
  - lacktriangle It depends on  $\mathcal{R}$  (and the commitment scheme).
  - Let A(x, C(w), w, r) generate the witness and Z(x, C(w), w, r, a, c) compute the response.
  - Challenge is a random string. Let  $\mathcal{V}(x,C(w),a,c,z)$  be the verification algorithm at the end.
- The whole proof  $\pi$  for (x, sid) consists of
  - lacktriangle C(w), a random string  $\bar{r}$ ;
  - $\bullet$   $a \leftarrow A(x, C(w), w, r);$
  - $lack z \leftarrow Z(x, C(w), w, r, a, H(x, a, sid, \bar{r}))$
- $\blacksquare$  (proof,  $sid, x, \pi$ ) is sent back to the user.

# **Protocol realizing NIZK**

- On input (verify, sid, x,  $\pi$ ) from the user, machine  $M_j$  verifies that proof:
  - Computes  $c = H(x, a, sid, \bar{r})$  (by invoking  $\mathcal{I}_{RO}$ ) and verifies  $\mathcal{V}(x, C, a, c, z)$ .

If correct, responds with (verifyok, sid, x).

### **Simulation**

#### The simulator communicates with

- the ideal functionality: possible commands are
  - lack (proof, i, sid, x);
  - $lack (witness?, sid, x, \pi).$
- the real adversary: possible commands are
  - lacktriangle (init) and (init, i) for two copies of  $\mathfrak{I}_{RGR}$ ;
  - lacktriangle queries to the random oracle  $\mathcal{I}_{RO}$ .
    - Answer the queries to  $\mathcal{I}_{RO}$  in the normal way.

#### **Simulator: initialization**

On the very first invocation:

■ Generate random elements  $g, h \in G$ .

On (init) and (init, i) from the adversary for functionalities  $\mathcal{I}_{RGR}$ :

Record that these commands have been received.

# Simulating (proof, i, sid, x)

- lacktriangle The query (prove, sid, x, w) was made by party  $P_i$  to  $\mathfrak{I}_{ ext{NIZK}}$ .
- Where do we get w?

# Simulating (proof, i, sid, x)

- The query (prove, sid, x, w) was made by party  $P_i$  to  $\mathfrak{I}_{\text{NIZK}}$ .
- $\blacksquare$  Where do we get w? We don't get it at all.
- $\blacksquare$  Let C be the commitment of a random element w';
- Simulate the ZK proof of  $(x, w') \in \mathcal{R}$ :
  - lacktriangle Let c be a random challenge.
  - lacktriangle Let (a, z) be suitable witness and response for showing that C is the commitment of a suitable witness of x in  $\mathcal{R}$ .
- Let  $\bar{r}$  be a random string, such that  $(x, a, sid, \bar{r})$  has not been a query to  $\mathfrak{I}_{\mathrm{RO}}$ .

# Simulating (proof, i, sid, x)

- The query (prove, sid, x, w) was made by party  $P_i$  to  $\mathfrak{I}_{\text{NIZK}}$ .
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  - lacktriangle Let (a, z) be suitable witness and response for showing that C is the commitment of a suitable witness of x in  $\mathcal{R}$ .
- Let  $\bar{r}$  be a random string, such that  $(x,a,sid,\bar{r})$  has not been a query to  $\mathfrak{I}_{\mathrm{RO}}$ .
- Define  $H(x, a, sid, \bar{r}) := c$ . Let  $\pi = (C, \bar{r}, a, z)$ .
- lacksquare Send (proof,  $sid, x, i, \pi$ ) to  $\mathfrak{I}_{ ext{NIZK}}$ .

(Programmable random oracle)

# **Simulating** (witness?, sid, x, $\pi$ )

This is called if the real adversary has independently constructed a valid proof.

- Change the simulator as follows:
  - Initialization: the simulator generates g and h so, that it knows  $\log_a h$ .
- On a (witness?,...)-query, the simulator checks whether the proof  $\pi=(C,\bar{r},a,z)$  is correct.
- lacktriangle If it is, then it extracts the witness w from C by ElGamal decryption.
- After that, it sends (prove, sid, x, w,  $\pi$ ) to  $\Im_{\text{NIZK}}$ .

**Exercise.** What if C does not contain a valid witness?

#### **Corruptions**

- The real adversary may send (corrupt)-command to some machine  $M_i$ .
  - ◆ Static corruptions only at the beginning.
  - ◆ Adaptive corruptions any time.
- The machine responds with its current state.
- $\blacksquare$  Afterwards,  $M_i$  "becomes a part of" the adversary.
  - ◆ Forwards all received messages to the adversary.
  - lacktriangle  $M_i$  accesses other components on behalf of the adversary.
  - lacktriangle No more traffic between  $M_i$  and the user.
- Possibility to corrupt players has to be taken into account when specifying ideal functionalities.
  - lacktriangle The ideal adversary may send (corrupt, i) to the functionality.
    - The simulator will make these queries if the real adversary corrupted someone.
  - lacktriangle The functionality may change the handling of the *i*-th party.

#### **Corruptions and functionalities**

- Random oracles impossible to corrupt.
- Generating a random element of the group:
  - Implementations uses MPC techniques.
  - lacktriangle Tolerates adaptive corruptions of less than n/3 participants.
  - If party i is corrupted, then  $\mathcal{I}_{RGR}$ 
    - Gives no output to the i-th party.
    - lacksquare Forwards to the adversary all requests from the i-th party.
  - If too many parties are corrupted (at least n/3) then  $\mathfrak{I}_{RGR}$  gives all control to the adversary.
  - The simulator simply acts as a forwarder between a corrupted party and the adversary.

# Corrupting $J_{NIZK}$

- The realization of NIZK uses  $\mathcal{I}_{RGR}$ .
  - lacktriangle It fails if there are at least n/3 corrupt parties.
- It has no other weaknesses.

## Corrupting $\mathcal{I}_{NIZK}$

- lacktriangle The realization of NIZK uses  $\mathcal{I}_{RGR}$ .
  - lacktriangle It fails if there are at least n/3 corrupt parties.
- It has no other weaknesses.
- If party i is corrupted in  $\mathcal{I}_{NIZK}$  then it stops talking to the user.
  - ◆ The adversary may prove things on user's behalf.
- If at least n/3 parties are corrupted then  $\mathcal{I}_{\text{NIZK}}$  gives up.

## Corrupting $J_{NIZK}$

- The realization of NIZK uses  $\mathcal{I}_{RGR}$ .
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- If at least n/3 parties are corrupted then  $\mathcal{I}_{\text{NIZK}}$  gives up.
- The simulator corrupts i-th party of  $\mathcal{I}_{\text{NIZK}}$  if  $M_i$  is corrupted or the i-th party in  $\mathcal{I}_{\text{RGR}}$  is corrupted.

#### **Exercise**

How should corruptions be integrated to  $\mathcal{I}_{MB}$ ?

Ideal functionality  $\mathcal{I}_{MB}$  for parties  $P_1, \ldots, P_n$  is the following:

- On input (bcast, sid, v) from  $P_i$ , store (bcast, i, sid, v). Accept no further (bcast, sid, . . .)-queries from  $P_i$ . Send (bcast, sid, i, v) to the adversary.
- On input (pass, sid, i) from the adversary, if (bcast, i, sid, v) has been stored, store (post, sid, i, v).
- On input (tally, sid) from the adversary, accept no more (bcast, sid, ...) and (pass, sid, ...)-requests.
- On input (request, sid, i) from  $P_j$ , if (tally, sid) has been received before, send all stored (post, sid, . . .)-tuples to  $P_j$  (as a single message).

## Homomorphic encryption

- $\blacksquare$  A public-key encryption system  $(\mathcal{K}, \mathcal{E}, \mathcal{D})$ .
- The set of plaintexts is a ring.
- There is an operation  $\oplus$  on ciphertexts, such that if  $\mathfrak{D}(k^-,c_1)=v_1$  and  $\mathfrak{D}(k^-,c_2)=v_2$  then  $\mathfrak{D}(k^-,c_1\oplus c_2)=v_1+v_2$ .
- Security IND-CPA.

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- Security IND-CPA.
- In a threshold encryption system, the secret key is shared. There are shares  $k_1^-, \ldots, k_n^-$ .
- Also, there are public verification keys  $k_1^{\text{v}}, \ldots, k_n^{\text{v}}$  that are used to verify that the authorities have correctly computed the shares of the plaintext.
  - ...like in verifiable secret sharing.
- We use secure MPC to generate  $k^+, k_1^-, \ldots, k_n^-, k_1^v, \ldots, k_n^v$ .
  - lacktriangle This can be modeled by an ideal functionality  $\mathcal{I}_{ ext{KGEN}}$ .
  - ◆ There are more efficient means of generation than general MPC.

### **Key generation**

The ideal functionality  $\mathcal{I}_{\text{KGEN}}$  for m users and n authorities works as follows:

- On input (generate, sid) from the adversary, generates new keys. and gives the keys  $k^+, k_1^{\text{v}}, \ldots, k_n^{\text{v}}$  to the adversary.
- On input (getkeys, sid) from a party, gives the party this party's generated keys. (works like subroutine)
- Breaks down if there are at least (m+n)/3 corrupt parties.

Each voting session needs new keys, otherwise chosen-ciphertext attacks are possible.

### **Voting protocol**

- Voter machines  $M_1^V, \ldots, M_m^V$ , tallier machines  $M_1^T, \ldots, M_n^T$ .
- The first time some  $M_i^V$  or  $M_i^T$  is activated, it asks for its key(s) from  $\mathcal{I}_{KGEN}$  and receives them.
- lacksquare On input (vote, sid,v) from the user the machine  $M_i^V$ 
  - Let  $c_i \leftarrow \mathcal{E}_{k^+}(\operatorname{Encode}(v))$ . Make a NIZK proof  $\pi_i$  that  $c_i$  contains a correct vote. Send (bcast,  $sid || 0, (c_i, \pi_i)$ ) to  $\mathfrak{I}_{\text{MB}}$ .
- lacksquare On input (count, sid) from the adversary the machine  $M_i^T$ 
  - Sends (request, sid || 0, i) to  $\mathfrak{I}_{\text{MB}}$  and receives all the votes and correctness proofs  $(c_1, \pi_1), \ldots, (c_m, \pi_m)$ .
  - lacktriangle Checks the validity of the proofs, using  $\mathcal{I}_{\text{NIZK}}$ .
  - Multiplies the valid votes and decrypts the result, using  $k_i^-$ . Let the result of the decryption be  $d_i$ . Makes a NIZK proof  $\xi_i$  that  $d_i$  is a valid decryption and sends (bcast,  $sid || 1, (d_i, \xi_i)$  to  $\mathcal{I}_{MB}$ .
    - lacksquare The proof also uses  $k_i^{
      m v}$ .

### **Voting protocol**

- $\blacksquare$  On input (result, sid) from the adversary any machine
  - Sends (request, sid || 0, i) to  $\mathcal{I}_{MB}$  and receives all the votes and correctness proofs  $(c_1, \pi_1), \ldots, (c_m, \pi_m)$ .
  - lacktriangle Checks the validity of the proofs, using  $\mathcal{I}_{\text{NIZK}}$ .
  - lacktriangle Multiplies the valid votes, let the result be c.
  - Sends (request, sid || 1, i) to  $\mathfrak{I}_{MB}$  and receives the shares of the result  $d_1, \ldots, d_n$  together with proofs  $\xi_1, \ldots, \xi_n$ .
  - Check the validity of those proofs.
  - lacktriangle Combines a number of valid shares to form the final result r.
  - lack Sends (result, sid, r) to the user.

**Exercise.** What kind of corruptions are tolerated here?

#### The simulator — interface

The simulator encapsulates  $\mathcal{I}_{\mathrm{MB}}$ ,  $\mathcal{I}_{\mathrm{NIZK}}$ ,  $\mathcal{I}_{\mathrm{KGEN}}$ . The simulator handles the following commands:

- From  $\mathcal{I}_{\text{VOTE}}$ :
  - lacktriangle (vote, sid, i)  $V_i$  has voted (but don't know, how).
  - lacktriangle (result, sid, r) the result of the voting session sid.
- From the real adversary:
  - lacktriangle (count, sid) for  $M_i^T$  produce the share of the voting result.
  - lacklosh (result, sid) for any M combine the shares of the result and send it to the user.
  - Corruptions; messages on behalf of corrupted parties.

#### The simulator — interface

- From the real adversary (on behalf of  $\mathcal{I}_{MB}$ ):
  - lacktriangle (pass, sid, i) lets the message sent by  $M_i$  to pass.
  - lack (tally, sid) finishes round sid.
  - lacktriangle (bcast, sid, i, v) broadcast by a corrupt party.
- From the real adversary (on behalf of  $\mathcal{I}_{NIZK}$ ):
  - (proof, sid, x,  $\pi$ ) generate a proof token  $\pi$  for an honest prover.
  - lacktriangle (prove,  $sid, x, w, \pi$ ) the adversary proves something himself.
- From the real adversary (on behalf of  $\mathcal{I}_{KGEN}$ ):
  - lack (generate, sid) generates the keys.

#### The simulator — interface

The simulator issues the following commands:

```
\begin{array}{ll} \underline{\text{To } \mathcal{I}_{\text{VOTE}}:} & \underline{\text{To the real adversary (as } \mathcal{I}_{\text{MB}}):} \\ \underline{\text{(init, } sid)} & \underline{\text{(bcast, } sid, i, v)} \\ \\ (\text{accept, } sid, i) & \underline{\text{To the real adversary (as } \mathcal{I}_{\text{NIZK}}):} \\ (\text{result, } sid) & \underline{\text{(proof, } i, sid, x)} \\ (\text{giveresult, } sid, i) & \underline{\text{(witness?, } sid, x, \pi)} \\ (\text{corrupt, } i) & \underline{\text{To the real adversary (as } \mathcal{I}_{\text{KGEN}}):} \\ (\text{vote, } sid, i, v) & \underline{\text{(keys, } sid, k^+, k_1^v, \dots, k_n^v)}} \end{array}
```

#### The simulator — initialization

- $\blacksquare$  On the first activation with a new sid:
  - lacktriangle Generates keys  $k^+, k_1^-, \dots, k_n^-, k_1^{\mathrm{v}}, \dots, k_n^{\mathrm{v}}$  for this session.
- $lacktriangmath{\blacksquare}$  When receiving (generate, sid) from the adversary for  $\mathfrak{I}_{ ext{KGEN}}$ ,
  - marks that voting can now commence;
  - lacktriangle sends (init, sid) to  $\mathcal{I}_{\text{VOTE}}$ .
- $\blacksquare$  Corruptions by the adversary are forwarded to  $\mathcal{I}_{\mathrm{VOTE}}$  and recorded.

### The simulator — voting

- On input (vote, sid, i) from  $\mathcal{I}_{VOTE}$ :
  - Let the encrypted vote be  $c \leftarrow \mathcal{E}_{k^+}(0)$ .
  - lacktriangle Make a NIZK proof  $\pi$  that this vote is valid.
    - Going to  $\mathcal{I}_{NIZK}$ 's waiting state, as necessary.
  - lacktriangle Broadcast (using  $\mathfrak{I}_{MB}$ ) the pair  $(c,\pi)$  on behalf of voter i.
- $\blacksquare$  On input (pass, sid, i), if the vote was broadcast for the voter  $P_i$ :
  - Send (accept, sid, i) back to  $\mathfrak{I}_{\text{VOTE}}$ .
- If a corrupt party i puts a vote to the message board and makes a valid proof for it:
  - lacktriangle Decrypt that vote. Let its value be v.
  - Send (vote, sid, i, v) to  $\mathcal{I}_{\text{VOTE}}$ .

## The simulator — tallying

On input (tally,  $sid \parallel 0$ ) from the adversary for  $\mathfrak{I}_{\mathrm{MB}}$ :

- $\blacksquare$  Close the voting session sid, accept counting queries.
- Send (result, sid) to  $\mathfrak{I}_{\text{VOTE}}$ .
- $\blacksquare$  Get the voting result r from  $\mathfrak{I}_{\text{VOTE}}$  and store it.

#### The simulator — counting

On input (count, sid) from the adversary for the tallier  $T_i$ :

- lacktriangle Check the proofs of all votes  $(c_i, \pi_i)$  using  $\mathfrak{I}_{ ext{NIZK}}$ .
  - ◆ Going to wait-state, if necessary.
- $\blacksquare$  Let C be the product of all votes with valid proofs.
- For talliers  $T_1, \ldots, T_n$ , let  $d_1, \ldots, d_n$  be
  - lack if  $T_i$  is corrupt, then  $d_i = \mathcal{D}(k_i^-, C)$ ;
  - lacktriangle if  $T_i$  is honest, then a  $d_i$  is simulated value

such that  $d_1, \ldots, d_n$  combine to r.

- $lack d_1, \dots, d_n$  are generated at the first (count, sid)-query.
- $\blacksquare$  Make a NIZK proof  $\xi_i$  for the share  $d_i$ .
- lacksquare Broadcast  $(d_i, \xi_i)$  in session  $sid \parallel 1$  using  $\mathcal{I}_{\mathrm{MB}}$ .
- A corrupt tallier can broadcast anything. But only  $(d_i, \xi_i)$  for the valid  $d_i$  is accepted at the next step.

### The simulator — reporting the results

On input (result, sid) from the adversary for any voter or tallier i:

- Takes all votes  $(c_j, \pi_j)$  and all shares of the result  $(d_j, \xi_j)$ .
- Verifies all correctness proofs of votes.
- Multiplies the valid votes.
- Verifies the correctness proofs of shares.
- If sufficiently many proofs are correct then sends (giveresult, sid, i) to  $\mathcal{I}_{\text{VOTE}}$ .

### Damgård-Jurik encryption system

- A homomorphic threshold encryption system
- Somewhat RSA-like
  - lacktriangle Operations are modulo  $n^s$ , where n is a RSA modulus.
  - Easy to recover i from  $(1+n)^i \mod n^s$ .
- Maybe in the lecture...
- Otherwise see http://www.daimi.au.dk/~ivan/GenPaillier\_finaljour.ps

#### Secure MPC from thresh. homom. encr.

Computationally secure against malicious coalitions with size less than the threshold.

- Function given as a circuit with multiplications and additions.
- The value on each wire is represented as its encryption, known to all.
- Addition gate everybody can add encrypted values by themselves.
- Multiplication of a and b (encryptions are  $\overline{a}$  and  $\overline{b}$ ):
  - Each party  $P_i$  chooses a random  $d_i$ , broadcasts  $\overline{d_i}$ , proves in ZK that it knows  $d_i$ .
  - lacktriangle Let  $d=d_1+\cdots+d_n$ . Then  $\overline{d}=\overline{d_1}\oplus\cdots\oplus\overline{d_n}$ .
  - lacktriangle Decrypt  $\overline{a} \oplus \overline{d} = \overline{a+d}$ , let everybody know it.
  - lacktriangle Let  $\overline{a_1} = \overline{a+d} \ominus \overline{d_1}$  and  $\overline{a_i} = \ominus \overline{d_i}$ .  $P_i$  knows  $a_i$ .
  - lacktriangle  $P_i$  broadcasts  $a_i\odot \overline{b}=\overline{a_ib}$  and proves in ZK that he computed it correctly.
  - Everybody computes  $\overline{a_1b} \oplus \cdots \oplus \overline{a_nb} = \overline{ab}$ .