

So far, we have only considered passive adversaries.

They keep their ears open and mouth shut.

For example, if

- A wants to send a secret message to B , and
- B thus sends his public key to A ,

then the adversary does not attempt to replace that public key while in transit.

An active adversary might replace that public key by its own, then he can read what A has encrypted.

Digital signatures are a basic means to verify the source of a message.

A digital signature scheme is a tuple $(\mathcal{P}, \mathcal{A}, \mathcal{K}, \mathcal{S}, \mathcal{V})$, where

- \mathcal{P} is the set of possible messages;
- \mathcal{A} is the set of possible signatures;
- \mathcal{K} is the set of possible pairs of signature and verification keys (or “secret” and “public” keys);
- \mathcal{S} contains a **signature generation algorithm** sig_K for each signature key;
- \mathcal{V} contains a **signature verification algorithm** ver_K for each verification key.

For each $(K_s, K_v) \in \mathcal{K}$:

- $sig_{K_s} : \mathcal{P} \longrightarrow \mathcal{A}$;
- $ver_{K_v} : \mathcal{P} \times \mathcal{A} \longrightarrow \{\text{true}, \text{false}\}$.
- For each message $m \in \mathcal{P}$:

$$ver_{K_v}(m, sig_{K_s}(m)) = \text{true} .$$

The algorithms in \mathcal{S} and \mathcal{V} must be efficient.

Given m and K_v , it should be infeasible to generate such s , that $ver_{K_v}(m, s) = \text{true}$.

A signature scheme is [with appendix \(*lisaga*\)](#) if $ver_{K_v}(m, s)$ actually uses m . Otherwise it is [with message recovery \(*sõnumit taastav*\)](#).

RSA signature scheme.

Generate large primes p, q . Let $n = pq$. Let $e \in \mathbb{Z}_{\varphi(n)}^*$. Let $d = e^{-1} \pmod{\varphi(n)}$.

Signature key: (n, d) . Verification key: (n, e) . $\mathcal{P} = \mathcal{A} = \mathbb{Z}_n$.

$$\text{sig}_{(n,d)}(m) = m^d \pmod{n}$$

$$\text{ver}_{(n,e)}(m, s) = [s^e \equiv m \pmod{n}]$$

Attacks:

Signatures to random messages The adversary may take an $s \in \mathcal{A}$ and compute $m = s^e \bmod n$. Then $ver_{(n,e)}(m, s) = \text{true}$.

Existential forgery.

Homomorphic properties If $ver_{(n,e)}(m_1, s_1) = \text{true}$ and $ver_{(n,e)}(m_2, s_2) = \text{true}$ then also

$$ver_{(n,e)}(m_1 m_2 \bmod n, s_1 s_2 \bmod n) = \text{true} .$$

Both attacks can be thwarted by adding redundancy to messages.

How to sign arbitrary messages?

Use **collision-resistant hash functions**.

A hash function is a mapping $h : \{0, 1\}^* \longrightarrow \{0, 1\}^n$ for some small n (typically $160 \leq n \leq 512$). It is

- **one-way** if given $y \in \{0, 1\}^n$ it is infeasible to find any x , such that $h(x) = y$;
- **2nd preimage resistant** if given x it is infeasible to find any $x' \neq x$, such that $h(x) = h(x')$;
- **collision-resistant** if it is infeasible to find any (x, x') , $x \neq x'$, such that $h(x) = h(x')$.

Note that we said “resistant” (*kindel*), not “free”.

There exist hash functions which are (were) believed to be collision-resistant.

Collision-resistant hash functions can be constructed from secure symmetric encryption systems.

There exist hash functions which are collision-resistant under standard number-theoretic complexity assumptions.

We take a look at them in the next (or current?) lecture.

A collision-resistant hash function is also one-way.

Fix a collision-resistant hash function h whose output length is smaller than the length of the RSA modulus.

RSA signature generation is then

$$\text{sig}_{(n,d)}(m) = h(m)^d \bmod n$$

and verification is

$$\text{ver}_{(n,e)}(m, s) = [s^e \equiv h(m) \pmod{n}] .$$

The attacks described before would require inverting h to find a suitable message to go with the generated signature.

ElGamal signature scheme.

Fix a group G with hard discrete logarithm problem, $m = |G|$, g is a generator of G . We need a collision-resistant hash function $h : \{0, 1\}^* \longrightarrow \mathbb{Z}_m$.

$$\mathcal{P} = \{0, 1\}^*. \mathcal{A} = G \times \mathbb{Z}_m.$$

Key generation: randomly generate $\alpha \in \mathbb{Z}_m$. α is the signature key and $\chi = g^\alpha$ is the verification key.

To sign, generate a random $r \in \mathbb{Z}_m^*$.

$$\text{sig}_\alpha(m) = \text{let } \tau = g^r \text{ in } (\tau, (h(m) - \alpha h(\tau))r^{-1} \bmod m)$$

$$\text{ver}_\chi(m, (\tau, s)) = [\chi^{h(\tau)} \cdot \tau^s = g^{h(m)}]$$

Signature verification works:

$$\begin{aligned} \text{ver}_\chi(m, (g^r, (h(m) - \alpha h(g^r))r^{-1})) = \\ \left[\chi^{h(g^r)} \cdot (g^r)^{h(m) - \alpha h(g^r)r^{-1}} = g^{h(m)} \right] \end{aligned}$$

and

- $\chi^{h(g^r)} = g^{\alpha h(g^r)}$;
- $(g^r)^{h(m) - \alpha h(g^r)r^{-1}} = g^{h(m)} / g^{\alpha h(g^r)}$.

Security considerations:

The adversary cannot forge the signature by generating a random r and computing $\tau = g^r$.

Indeed, the adversary must then compute

$$s = (h(m) - \alpha h(\tau))r^{-1} \bmod m$$

and if he succeeds, he can also find α . This is equivalent to finding the discrete logarithm $\log_g \chi$.

Security considerations:

The random r must be kept secret. Otherwise the secret key α will be found from

$$s = (h(m) - \alpha h(\tau))r^{-1} \pmod{m}$$

Here m is the message and (τ, s) is the signature.

$$\alpha = (h(m) - rs)h(\tau)^{-1} \pmod{m}$$

Security considerations:

Different signatures must use different random r -s. Indeed, if m_1 and m_2 have signatures (τ, s_1) and (τ, s_2) , where $\tau = g^r$ and

$$s_1 = (h(m_1) - \alpha h(\tau))r^{-1}$$

$$s_2 = (h(m_2) - \alpha h(\tau))r^{-1}$$

then we have a simple system of equations with two equations and two unknowns (r and α).

We get $r = (h(m_1) - h(m_2)) \cdot (s_1 - s_2)^{-1} \pmod{m}$ and find α as in the previous slide.

If $G = \mathbb{Z}_p^*$ (and then $m = p - 1$) then $h(\tau)$ is usually taken to be τ . (or $\tau \bmod (p - 1)$). In this case

$$\text{sig}_\alpha(m) = \text{let } \tau = g^r \text{ in } (\tau, (h(m) - \alpha\tau)r^{-1} \bmod (p - 1))$$

$$\text{ver}_\chi(m, (\tau, s)) = [\chi^\tau \cdot \tau^s \equiv g^{h(m)} \pmod{p}] \wedge [1 \leq \tau \leq p - 1]$$

Security considerations:

The check $1 \leq \tau \leq p - 1$ is necessary. Otherwise...

Suppose that the adversary knows the signature (τ, s) for the message m . It wants to sign the message m' . Let

- $u = h(m') \cdot (h(m))^{-1} \pmod{p - 1}$;
- $s' = su \pmod{p - 1}$;
- $\tau' \in \mathbb{Z}_{p(p-1)}$ satisfies (use CRT to find it)

$$\begin{cases} \tau' \equiv \tau u \pmod{p - 1} \\ \tau' \equiv \tau \pmod{p} \end{cases}$$

Then (τ', s') will be accepted as a signature of m' .

Indeed,

$$\chi^{\tau'} \cdot \tau'^{s'} \equiv \chi^{\tau u} \cdot \tau^{su} =$$

$$(\chi^{\tau} \cdot \tau^s)^u \equiv (g^{h(m)})^{\frac{h(m')}{h(m)}} = g^{h(m')} \pmod{p}$$

If $1 \leq \tau' \leq p - 1$ then $\tau = \tau u$, hence $u = 1$ and $h(m) = h(m')$. If $m \neq m'$ then we have a collision.

Security considerations: If $\mathcal{P} = \mathbb{Z}_p^*$ and $h(m) = m$ then existential forgeries are possible.

The adversary has to generate (m, τ, s) , such that

$$\chi^\tau \cdot \tau^s \equiv g^m \pmod{p} .$$

It generates $u \in \mathbb{Z}$, $v \in \mathbb{Z}_{p-1}^*$ and defines $\tau = g^u \chi^v$, $s = -\tau v^{-1} \pmod{p-1}$ and $m = su \pmod{p-1}$. Then

$$\chi^\tau \cdot \tau^s = \chi^\tau (g^u \chi^v)^{-\tau v^{-1}} = \chi^\tau g^{-u\tau v^{-1}} \chi^{-v\tau v^{-1}} = g^{-u\tau v^{-1}}$$

and $-u\tau v^{-1} = us \equiv m \pmod{p-1}$.

Efficiency considerations. The signing with ElGamal is fast (requires just a couple of multiplications).

- $\tau = g^r$ can be precomputed.

To verify, we must compute $\chi^{h(\tau)}$, τ^s and $g^{h(m)}$ — three exponentiations.

Fortunately, computing $a_1^{e_1} \cdots a_k^{e_k}$ (product of powers) can be done faster than k exponentiations.

We can then compute $\chi^{h(\tau)} \cdot \tau^s \cdot (g^{-1})^{h(m)}$ and compare it to $1 \in G$.

We want to compute $a_1^{e_1} \cdots a_k^{e_k}$.

Let $e_i = \sum_{j=0}^n e_{ij} 2^j$, where $e_{ij} \in \{0, 1\}$ and $n \geq \max_i \log_2 e_i$.

Define $b_{n+1} = 1$ and

$$b_r = b_{r+1}^2 \cdot \prod_{\substack{1 \leq i \leq k \\ e_{ir} = 1}} a_i .$$

Then

$$b_r = \prod_{i=1}^k a_i^{\sum_{j=r}^n e_{ij} 2^{j-r}} .$$

And b_0 is the product that we are looking for.

We precompute all products $\prod_{i \in X} a_i$ for $X \subseteq \{1, \dots, k\}$. This requires $2^k - k - 1$ multiplications.

Computing b_r from b_{r+1} requires two multiplications (sometimes one). Computing b_0 requires $\approx 2n$ multiplications (without precomputation).

A usual exponentiation requires n to $2n$ multiplications.

If k is small, such that also 2^k is small then the simultaneous exponentiation is almost as fast as a simple exponentiation.

Digital signature algorithm.

Proposed by the U.S. National Institute of Standards and Technology.

A simple variant of ElGamal signature scheme in a subgroup of \mathbb{Z}_p^* .

Let q be a 160-bit prime number and p a 512-(or 768-, or 1024-)bit prime number, such that $q \mid (p - 1)$.

I.e. we consider only numbers of the form $2tq + 1$ for suitably sized t when doing the prime number generation for p .

Let $g \in \mathbb{Z}_p^*$ have the order q . Let G be generated by g .

I.e. raise a generator of \mathbb{Z}_p^* to the power $\frac{p-1}{q}$.

The group G should have hard-to-compute discrete logarithms.

Indeed, it is too large to use generic algorithms, and p is too large to use algorithms specifically for \mathbb{Z}_p^* .

The quantities q , p and g may global, or may be chosen for each key separately.

Key generation: randomly generate $\alpha \in \mathbb{Z}_q$. α is the signature key and $\chi = g^\alpha \in \mathbb{Z}_p^*$ is the verification key.

To sign m , choose a random $r \in \mathbb{Z}_q^*$.

- Let $\tau = (g^r \bmod p) \bmod q \in \mathbb{Z}_q$.
- Let $s = r^{-1}(h(m) + \alpha\tau) \bmod q \in \mathbb{Z}_q$.
- Return (τ, s) .

To verify that (τ, s) is a signature of m ,

- Verify that $0 < \tau, s < q$.
- Let $u_1 = s^{-1}h(m) \bmod q$ and $u_2 = \tau s^{-1} \bmod q$.
- Verify that $\tau = (g^{u_1} \chi^{u_2} \bmod p) \bmod q$.

Exercise. Verify that signature verification works, unless $\tau = 0$ or $s = 0$, which should occur extremely rarely.

A **hash function** is a function $h : \{0, 1\}^* \rightarrow \{0, 1\}^n$ for some fixed n , such that h is easy to compute.

A **compression function** is a function $h : \{0, 1\}^m \rightarrow \{0, 1\}^n$ for some fixed m and n , such that $m > n$ and h is easy to compute.

Before we listed the properties “one-wayness”, “2nd preimage resistance” and “collision-resistance”.

Theorem. If a hash or compression function $h : X \rightarrow Z$ (here $|X| \geq 2|Z|$) is not one-way, then it is not collision-resistant.

Proof. Let \mathcal{A} be an algorithm, such that $\mathcal{A}(y)$ returns some $x \in h^{-1}(y)$.

I.e. for any $y \in Z$, the probability $\Pr[h(\mathcal{A}(y)) = y]$ is significant.

To generate a collision,

- pick a random $x \in X$.
- Let $x' = \mathcal{A}(h(x))$.
- If $x \neq x'$ then output (x, x') , else fail.

The probability of failure is $|Z|/|X| \leq 1/2$.

We required from \mathcal{A} that for all $y \in Z$, the probability

$$\Pr[h(x) = y \mid x \leftarrow \mathcal{A}(y)]$$

is significant.

Alternatively, we might have required that just

$$\Pr[h(x) = y \mid y \in_R Z, x \leftarrow \mathcal{A}(y)]$$

is significant.

The non-existence of such \mathcal{A} is a more reasonable definition of one-wayness. . .

But then the theorem on previous slide no longer holds.

Indeed, let $h : \{0, 1\}^* \rightarrow \{0, 1\}^n$ be a collision-resistant hash function.

Define $h' : \{0, 1\}^* \rightarrow \{0, 1\}^{n+1}$ by

$$h'(x) = \begin{cases} 1 \parallel x, & \text{if } |x| = n \\ 0 \parallel h(x), & \text{otherwise} . \end{cases}$$

then h' is collision-resistant, but for half of the values $y \in \{0, 1\}^{n+1}$, it is very easy to find an element of $h'^{-1}(y)$.

A generic way to find a collision of a hash function h is to compute the values $h(x)$ for random x -s until a collision is found.

If the values $h(x)$ are n bits long then $O(2^{n/2})$ attempts are necessary, by the birthday paradox.

This attack is called the [birthday attack](#).

That's why the output of modern hash functions (MD5, SHA-1, etc.) are at least 128, and preferably 160 bits long.

A generalization of the birthday paradox: let X be a set, $|X| = n$. Let x_1, \dots, x_k and y_1, \dots, y_l be mutually independent uniformly distributed random variables over X . The probability that there exist such i and j , that $x_i = y_j$, is about

$$1 - \prod_{i=1}^k \prod_{j=1}^l \frac{n-1}{n} = 1 - \left(1 - \frac{1}{n}\right)^{kl} \geq 1 - e^{-\frac{kl}{n}} \geq \frac{1}{2}$$

if $e^{-\frac{kl}{n}} \leq \frac{1}{2}$, i.e. $kl \leq n \ln 2 = O(n)$.

Let x and x' be two meaningful documents. The attacker may choose $n/2$ “places” in both of them where it may or may not make a modification that does not change the meaning of the document.

We get $2^{n/2}$ variants of the document x and $2^{n/2}$ variants of the document x' . With significant probability, $h(\bar{x}) = h(\bar{x}')$ for some variant \bar{x} of x and \bar{x}' of x' .

(Yuval's attack.)

Assume that $h : \{0, 1\}^m \rightarrow \{0, 1\}^n$ is a collision-resistant compression function. Let $r = m - n$. We can construct a collision-resistant hash function $h^* : \{0, 1\}^* \rightarrow \{0, 1\}^n$ as follows.

Let $\kappa : \{0, 1\}^* \rightarrow (\{0, 1\}^r)^*$ be an encoding function that is

- easily computable and easily invertible;
- **suffix-free** — if $x \neq x'$ then neither of $\kappa(x)$ and $\kappa(x')$ is a suffix of the other.

Exercise. Construct such κ . Try to keep the increase in length as small as possible.

Let $x \in \{0, 1\}^*$ and let $(x_1, \dots, x_t) = \kappa(x)$, where $x_1, \dots, x_t \in \{0, 1\}^r$.

Let H_0 be the string of n bits 0. Construct H_1, \dots, H_t as follows:

$$H_i = h(H_{i-1} || x_i) .$$

We define $h^*(x) = H_t$.

This is called the [Merkle-Damgård construction](#).

Theorem. If h is a collision-resistant compression function then h^* is a collision-resistant hash function.

Proof. We show how to efficiently construct a collision of h from a collision of h^* .

Let $x = x'$ but $h^*(x) = h^*(x')$. Let $(x_1, \dots, x_t) = \kappa(x)$ and $(x'_1, \dots, x'_{t'}) = \kappa(x')$. Assume w.l.o.g. that $t \leq t'$.

Compute H_0, \dots, H_t (from $\kappa(x)$) and $H'_0, \dots, H'_{t'}$ (from $\kappa(x')$).

We have $H_t = H'_{t'}$. There are two cases:

1. There exists an $i \in \{1, \dots, t\}$, such that $H_{t-i} \neq H'_{t'-i}$.

Let i be the smallest with such property.

2. $H_t = H'_{t'}$, $H_{t-1} = H'_{t'-1}, \dots, H_0 = H'_{t'-t}$.

In the first case we have

- $H_{t-i} \parallel \mathbf{x}_{t-i+1} \neq H'_{t'-i} \parallel \mathbf{x}'_{t'-i+1}$;
- $h(H_{t-i} \parallel \mathbf{x}_{t-i+1}) = H_{t-i+1} = H'_{t'-i+1} = h(H'_{t'-i} \parallel \mathbf{x}'_{t'-i+1})$.

a collision for h .

In the second case there are again two cases:

1. There exists an $i \in \{0, \dots, t-1\}$, such that $\mathbf{x}_{t-i} \neq \mathbf{x}'_{t'-i}$.
Let i be the smallest with such property.
2. $\mathbf{x}_t = \mathbf{x}'_{t'}$, $\mathbf{x}_{t-1} = \mathbf{x}'_{t'-1}, \dots, \mathbf{x}_1 = \mathbf{x}'_{t'-t+1}$.

In the first case we have

- $H_{t-i-1} \parallel x_{t-i} \neq H'_{t'-i-1} \parallel x'_{t'-i}$;
- $h(H_{t-i-1} \parallel x_{t-i}) = H_{t-i} = H'_{t'-i} = h(H'_{t'-i-1} \parallel x'_{t'-i})$.

a collision for h .

In the second case $\kappa(x)$ is a suffix of $\kappa(x')$. This is impossible by the construction of κ .

Chaum - van Heijst - Pfitzmann compression function is defined as follows:

Let $p \in \mathbb{P}$ be a strong prime (i.e. $q = \frac{p-1}{2}$ is also a prime). Let g be a generator of \mathbb{Z}_p^* and let h be a random element of \mathbb{Z}_p^* .

Define $h : \mathbb{Z}_q \times \mathbb{Z}_q \rightarrow \mathbb{Z}_p^*$ by

$$h(x, y) = g^x h^y \pmod{p} .$$

Theorem. If the discrete logarithm problem is hard in \mathbb{Z}_p^* then h is collision-resistant.

Proof. Assume that we know a collision for h . Then we can find $z = \log_g h$ as follows.

Let $h(x_1, y_1) = h(x_2, y_2)$, but $(x_1, y_1) \neq (x_2, y_2)$. We have

$$g^{x_1 - x_2} \equiv h^{y_2 - y_1} \pmod{p}$$

or

$$x_1 - x_2 \equiv z(y_2 - y_1) \pmod{p - 1}$$

We solve this congruence for z (it must have at least one solution) and try out all possible solutions (raise g to that power and compare the result to h).

The procedure on the previous slide fails if the congruence

$$x_1 - x_2 \equiv z(y_2 - y_1) \pmod{p - 1}$$

has too many solutions.

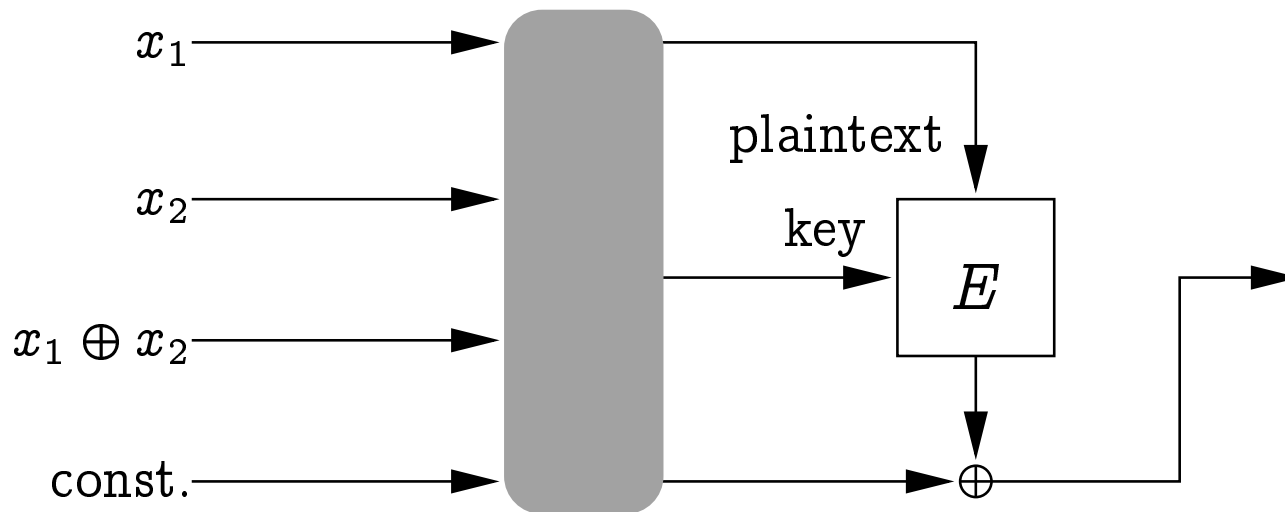
It has $\gcd(y_2 - y_1, p - 1) = \gcd(y_2 - y_1, 2q)$ solutions. As $y_1, y_2 < q$, then also $|y_2 - y_1| < q$ and this gcd can be either 1 or 2.

Unfortunately, the Chaum - van Heijst - Pfitzmann compression function is slow.

Compression functions may be constructed from block ciphers.

Let a block cipher be given, with $\mathcal{P} = \mathcal{K} = \mathcal{C} = \{0, 1\}^n$ for some n . Then we can construct

$h : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$ as follows. $h(x_1, x_2)$ is



I.e. there are 64 possibilities. Most of them are not collision-resistant.

Among those 64 functions, there are

- 12 collision-resistant functions;
- 8 functions, which are not collision resistant, but a hash function, constructed from it using the Merkle-Damgård construction, is secure;
- 44 “useless functions”.

The security proof assumes that E is a *randomly chosen* block cipher.

Let \mathbf{E} be the set of all functions E of type

$$\{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$$

such that $E(k, \cdot)$ is a permutation of $\{0, 1\}^n$ for each $k \in \{0, 1\}^n$.

The security proof assumes that E is uniformly randomly chosen from \mathbf{E} .

This is a strong assumption.

That also means that the attacker may not “look inside” E . It only has oracle access to E and D (decryption).

Collision-resistance of a construction means that

- for any efficient algorithm \mathcal{A}
 - which may call E and D , but has no further description of them;
- for a randomly chosen E
 - which also determines D
- the probability that $\mathcal{A}^{E,D}$ outputs a collision of h is negligible.

These 12 collision-resistant compression functions are

$$E(x_1, x_2) \oplus x_2 \qquad E(x_1 \oplus x_2, x_2) \oplus x_2$$

$$E(x_1, x_1 \oplus x_2) \oplus x_2 \qquad E(x_1, x_2) \oplus x_1 \oplus x_2$$

$$E(x_1 \oplus x_2, x_2) \oplus x_1 \qquad E(x_1, x_1 \oplus x_2) \oplus x_1 \oplus x_2$$

and six others, where we swap x_1 and x_2 .

These 8 non-collision-resistant compression functions giving collision-resistant hash functions are

$$\begin{array}{ll}
 E(x_1 \oplus x_2, x_2) \oplus c & E(x_1 \oplus x_2, x_2) \oplus x_1 \oplus x_2 \\
 E(x_2, x_1) \oplus c & E(x_1 \oplus x_2, x_1) \oplus c \\
 E(x_2, x_1) \oplus x_2 & E(x_1 \oplus x_2, x_1) \oplus x_1 \oplus x_2 \\
 E(x_2, x_1 \oplus x_2) \oplus c & E(x_2, x_1 \oplus x_2) \oplus x_2 .
 \end{array}$$

In Merkle-Damgård construction, x_1 is the accumulated value and x_2 the next message block.

Existing dedicated hash functions (MD5, SHA-1, RIPEMD, their longer versions) are also constructed by Merkle-Damgård construction. One has to specify

- the encoding (or padding) function κ ;
- the compression function.

In the symmetric setting we have seen encryption.

In the asymmetric setting we have seen encryption and signing.

What is the analogue to digital signatures in the symmetric setting?

Message authentication codes (MACs).

- Two parties share a secret key.
- One party can use that key to prove [to the other one](#) that the message was not modified during transit.
- This is hopefully more efficient than signing the message.

A MAC has the following components

- Plaintext space \mathcal{P} ;
- Authentication code space \mathcal{A} ;
- Key space \mathcal{K} ;
- MAC generation algorithm $sig : \mathcal{K} \times \mathcal{P} \rightarrow \mathcal{A}$;
- MAC verification algorithm $ver : \mathcal{K} \times \mathcal{P} \times \mathcal{A} \rightarrow \{\text{true}, \text{false}\}$.

$$ver_K(m, sig_K(m)) = \text{true}$$

must hold.

Similar to signatures, but “signature” and “verification” keys are equal.

A MAC can be constructed from a block cipher.

To compute $sig_K(m)$, encrypt $\kappa(m)$ with the key K using the CBC mode, taking $IV = 0$, and output only the last block.

If we want to have both secrecy and integrity, then we must use two different keys.

Also, some block ciphers' modes of operation have been devised, providing both.

In general, $E_K(M) \parallel MAC_{K'}(E_K(M))$ will provide both secrecy and authenticity.